



## Anti-money Laundering Memo

By opening an account with Far East Precious Metal Ltd. (“**Far East**”), I/We certify that I/We have read and agree to the following statement regarding money laundering.

In this statement, “money laundering” shall have the definition given in the Anti-money Laundering and Counter-terrorist Financing (Financial Institutions) Ordinance (Cap. 615) (“**AMLO**”). Therefore, money laundering means an act intended to have the effect of making any property:

- (a) that is the proceeds obtained from the commission of an indictable offence under the laws of Hong Kong, or of any conduct which if it had occurred in Hong Kong would constitute an indictable offence under the laws of Hong Kong; or
- (b) that in whole or in part, directly or indirectly, represents such proceeds, not to appear to be or so represent such proceeds;

Money laundering includes but is not limited to illegal sales of controlled drugs, funding for terrorist organizations, and financial malpractice.



Illegal sales of controlled drugs refer to the manufacturing, importing and sale of controlled drugs.

Financial malpractice refers to concealing assets from the receiver, custodian, trustee, or court officer, or concealing assets from creditors in the insolvency proceedings; deliberate violation of the provisions of the bankruptcy law or fraudulent transfer in the insolvency proceedings; making a false oath or request in bankruptcy proceedings; bribery; giving commissions or gifts in the loan process; embezzlement or appropriation of or applying for unjustified loans from/with banks, other lending institutions or insurance institutions; applying for loans or credit with banks or credit institutions using fraudulent or false information..

In addition, money laundering includes offsetting deposits or loans with individuals or companies in well-known drug-trafficking areas, or making use of or assisting politicians or their agents to invest with the funds without clear legal origin or described as large sums of government agencies or state-owned enterprises, or government contract commissions.

Other crimes related to money laundering include terrorist financing, counterfeiting, espionage, kidnapping and hostage taking, infringement of copyright, or importing goods by making false statements, embezzling or taking away goods under the custody of Customs officers, illegal production of weapons, etc.

I/We hereby agree to anti-money laundering measures. I/We have read and understood this Anti-money Laundering Memo. I/We hereby confirm and declare that my/our assets or any company property under my/our control are not from any money laundering activities defined under AMLO, including but not limited to those activities specifically listed in this Memo.





Each time I/we make a payment to Far East, I/We have acknowledged and declared as above.

Signature(s):



Date:







## Personal Information Collection Statement

I/We hereby declare as follows:

1. I/We am/are now opening an account with Far East and completing related formalities and I/we hereby declare that the data on the application form and documents required to be submitted (including but not limited to identification document, bank account certificate and residence certificate) are true and correct, and I/we am/are aware that Far East will review my application based on and relying on such information (including required documents) as disclosed by me/us. I/we also confirm hereby that any required consent from related third parties has been obtained to disclose the personal information about them.
  2. I/we agree that Far East may inquire and verify the authenticity of related information with any related party. I/we am/are aware that, once submitted, the data provided on the application form (including required documents), whether approved or not, shall be the property of Far East; and that, if the application is rejected or related account is revoked in the future, Far East shall have an absolute right to reserve or destroy related information and documents, and I/we agree and acknowledge that such arrangements meet the requirements of the Personal Data (Privacy) Ordinance.
  3. I/We agree that Far East has the right to request me/us to submit other data and documents to support my/our application for account opening; and that all documents, including the original or duplicate copy of this application form, will not be returned.
  4. I/We agree that Far East has the right to finally decide whether to approve the application for account opening or not without giving any reason.
  5. I/We agree that online trading is a new and rapidly evolving information technology product. Therefore, I/we understand that online trading technology may have certain limitations to meet my requirements. I/We understand that Far East will endeavor to keep my personal information confidential, but shall not be liable for any claims, losses or damages for nonauthorized disclosure of my/our personal data.
  6. I/We have read and agree to the Personal Data Collection Statement and the Personal Data Privacy Policy as well as all the statements and terms and conditions set out in the Account Opening Application Form, and agree to abide by and perform relevant terms and conditions and rules.
  7. By submitting the form and required documents, I/We have understood and accept this statement.
  8. The data and declarations contained in the Account Opening Application Form are true, complete and correct.
  9. I/We shall assume all responsibilities for the instructions that ultimately issued by the individual and the trading system.
  10. I/We are the person(s) who stand to gain the commercial/economic benefit of the transactions and/or bear the commercial/economic risk. I/We hereby confirm and agree that Far East may rely on the above information and declarations for all purpose, unless and until it receives notice from me/us of any change.
  11. I/We confirm that I/we have read carefully, understood and agree to the Far East Client Agreement, including the attached Notice to Clients, Risk Disclosure Statement, Trading
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Rules, Personal Data Privacy Policy, Anti-money Laundering Memo, Personal Data Collection Statement, etc.

12. I/We agree to be bound by the terms and conditions of the Far East Client Agreement and have understood and confirm that Far East has the right to amend the terms and conditions of the Agreement from time to time. Far East will provide notice to the Client of any such amendment by publishing such amendment on its website. The Client shall be responsible for regularly reviewing these terms and conditions for any modifications and agree to be bound by same.
13. I/We confirm that I/we have been advised to seek independent advices before opening an account. Far East does not provide any suggestions or advices regarding investment, tax or legal issues. Far East reserves the right to amend the terms of this Agreement from time to time.

Signature(s):

Date:

